

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

04/28/2003

Law Offices Sughrue Mion Zinn Macpeak & Seas PLLC 2100 Pennsylvania Avenue N W Washington, DC 20037-3213

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EXA	AMINER
KAM,	CHIH MIN
ART UNIT	CLASS-SUBCLASS
1652	514.012000

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DATE MAILED: 04/28/2003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/493,211	01/28/2000	Philip Richard Abraham	Q57666	1944

TITLE OF INVENTION: NOVEL SYNTHETIC PEPTIDES WITH ANTIMICROBIAL AND ENDOTOXIN NEUTRALIZING PROPERTIES FOR MANAGEMENT OF THE SEPSIS SYNDROME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	07/28/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

**Commissioner for Patents** Washington, D.C. 20231

(703)746-4000 **Fax** 

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where

indicated unless corrected maintenance fee notification	below or directed otherw 18.	vise in Block 1, by (a) sp	becifying a new co	orrespondence addr	ress; and/or (b) indicating a sepa	arate "FEE ADDRESS" to
7:	(RENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)  7590 04/28/2003		Fee(s) Transmit accompanying page	te of mailing can only be used for tal. This certificate cannot a papers. Each additional paper, s	be used for any other such as an assignment or	
Law Offices Sughrue Mion Zini 2100 Pennsylvania Washington, DC 2		PLLC		I hereby certify United States Pos envelope address	must have its own certificate of n Certificate of Mailing or Trans that this Fee(s) Transmittal is stal Service with sufficient postay ed to the Box Issue Fee address USPTO, on the date indicated b	smission being deposited with the ge for first class mail in an above, or being facsimile
•					<u> </u>	(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE	FIR	ST NAMED INVEN	ГOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/493,211	01/28/2000		ilip Richard Abrah		Q57666	1944
TITLE OF INVENTION MANAGEMENT OF THE		IC PEPTIDES WITH	ANTIMICROBIA	L AND ENDO	TOXIN NEUTRALIZING PR	OPERTIES FOR
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nonprovisional	NO	\$1300	•	\$0	\$1300	07/28/2003
EXAMI	VER	ART UNIT	CLASS-SUBCL	ASS		
KAM, CH		1653	514-01200			
Address form PTO/SB/1:  "Fee Address" indicati PTO/SB/47; Rev 03-02 ( Number is required.  3. ASSIGNEE NAME AND PLEASE NOTE: Unless a	ence address (or Change of 22) attached. on (or "Fee Address" Indoor more recent) attached.  RESIDENCE DATA To a ssignee is identified by to the USPTO or is being E	of Correspondence ication form Use of a Customer  D BE PRINTED ON THE below, no assignee data was submitted under separate (B) RE	the names of up or agents OR, single firm (ha attorney or age registered paten is listed, no name PATENT (print of the property of the propert	atent. Inclusion of n of this form is NO and STATE OR C	atent attorneys the name of a are a registered es of up to 2 tts. If no name  assignee data is only appropriat or a substitute for filing an assign	nment.
4a. The following fee(s) are		<u> </u>	yment of Fee(s):	- marriagn	a corporation of other private gr	cap cany — government
☐ Issue Fee				of the fee(s) is enc	losed.	
☐ Publication Fee		☐ Pay	ment by credit card	l. Form PTO-2038	is attached.	
☐ Advance Order - # of C	opies	The Depos	Commissioner is a lit Account Number	ereby authorized b	y charge the required fee(s), or c (enclose an extra copy of this f	redit any overpayment, to form).
Commissioner for Patents is	requested to apply the Is	sue Fee and Publication F	ee (if any) or to re-	apply any previou	sly paid issue fee to the applicati	on identified above.
(Authorized Signature)	dank' - da	(Date)				<del>.</del>
NOTE; The Issue Fee and other than the applicant; interest as shown by the reach obtain or retain a benefit application. Confidentiality estimated to take 12 minut completed application for case. Any comments on suggestions for reducing the patent and Trademark Off NOT SEND FEES OR Commissioner for Patents,	a registered attorney or cords of the United States tion is required by 37 C by the public which is t	agent; or the assignee of Patent and Trademark OFR 1.311. The information file (and by the USPT)	or other party in office.  on is required to 0 to process) an			

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7590 04/28/2003  Law Offices Sughrue Mion Zinn Macpeak & Seas PLLC 2100 Pennsylvania Avenue N W			EXAMINER		
			KAM, CHIH MIN		
		ART UNIT	PAPER NUMBER		
Washington, DC 20	0037-3213		1653		
UNITED STATES			DATE MAILED: 04/28/2003		

# Determination of Patent Term Extension under 35 U.S.C. 154 (b) (application filed after June 7, 1995 but prior to May 29, 2000)

The patent term extension is 0 days. Any patent to issue from the above identified application will include an indication of the 0 day extension on the front page.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/493,211	3,211 01/28/2000 Philip Richard Abraham		Q57666 1944		
7590 04/28/2003			EXAMIN	ER	
Law Offices		0	KAM, CHIH MIN		
Sughrue Mion Zinn Macpeak & Seas PLLC 2100 Pennsylvania Avenue N W			ART UNIT	PAPER NUMBER	
Washington, DC 20	0037-3213		1653		
UNITED STATES	•		DATE MAILED: 04/28/2003		

## Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.